

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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|------------------------------------|---|---------------------|
| -----X | : | |
| APPLIED RESEARCH INVESTMENTS, LLC, | : | |
| | : | |
| Plaintiff(s), | : | |
| | : | 22-CV-7100 (VSB) |
| -against- | : | |
| | : | <u>ORDER</u> |
| MARK LIN, ALPHA LAB ASSET | : | |
| MANAGEMENT INC., | : | |
| | : | |
| Defendant(s). | : | |
| -----X | : | |

VERNON S. BRODERICK, United States District Judge:


On August 19, 2022, Plaintiff filed this action against Defendants Mark Lin and Alpha Lab Asset Management Inc.. (Doc. 1.) Plaintiff obtained a summons on August 22, 2022. (Doc. 6.) To date, Plaintiff has not filed an affidavit of service or taken any other action to prosecute this case. Accordingly, it is hereby:

ORDERED that, no later than November 25, 2022, Plaintiff shall submit a letter of no more than three (3) pages, supported by legal authority, demonstrating good cause as to why this case should not be dismissed pursuant to Federal Rule of Civil Procedure 4(m). “Good cause is generally found only in exceptional circumstances where the plaintiff’s failure to serve process in a timely manner was the result of circumstances beyond its control.” *E. Refractories Co. v. Forty Eight Insulations, Inc.*, 187 F.R.D. 503, 505 (S.D.N.Y. 1999) (internal quotation marks omitted). “District courts consider the diligence of plaintiff’s efforts to effect proper service and any prejudice suffered by the defendant as a consequence of the delay.” *Id.* (internal quotation marks omitted). “An attorney’s inadvertence, neglect, mistake or misplaced reliance does not constitute good cause.” *Howard v. Klynveld Peat Marwick Goerdeler*, 977 F.Supp. 654, 658 (S.D.N.Y.1997) (citing *McGregor v. United States*, 933 F.2d 156, 160 (2d Cir.1991), *aff’d*, 173

F.3d 844 (2d Cir.1999)). Plaintiff is warned that failure to submit a letter and to demonstrate good cause for failure to serve Defendants within ninety days after the complaint was filed will result in dismissal of this action.

SO ORDERED.

Dated: November 18, 2022
New York, New York



VERNON S. BRODERICK
United States District Judge